

From,

To,

M/s. SKY Commodities India Pvt. Ltd.,
40-49, I.G.Towers, Devangapet 3rd Street,
Flower Market, Coimbatore – 641001.

SUB: Consent for Trading in NSE & BSE Segments of CM, F&O, CD & Commodity.

With reference to the above, being an Investor and I am already having a Trading Code _____ with you for MCX Trading, I wish to confirm that I have received the latest KYC Details captured in your Master by email and I am confirming that there is no change in KYC Details captured in your Master. Hence, I request you to kindly enable my Trading Account to trade in additional segments of NSE & BSE consented by me.

I have executed the below mentioned documents for enablement of additional segments and request you to kindly do the needful and confirm with the same trading code registered with you.

1. Enablement of Additional Segment Form for NSE & BSE
2. Tariff sheet
3. Voluntary and Additional Tariff Sheet
4. Voluntary & Non Mandatory Rights and Obligations of Stock Brokers, Sub Brokers and Clients
5. FATCA Declaration

Thanking You,

Yours faithfully,

☒

Client Signature

Date:

C. TRADING PREFERENCES

Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.

Exchanges	NSE & BSE				MCX, BSE & NSE
All Segments	Cash / Mutual Fund	F&O	Currency	Debt	Commodity Derivatives
<input checked="" type="checkbox"/>					

If you do not wish to trade in any of segments / Mutual Fund, please mention here _____

TARIFF SHEET – TRADING ACCOUNT

1. Rate of Brokerage

Brokerage details

Charge Head	<input type="checkbox"/> SKY DISCOUNT	<input checked="" type="checkbox"/> SKY PRIME
Intraday - Futures (Equity, Currency & Commodities)	Rs.20/- Per Order or 0.03 % on turnover whichever is lower.	0.03 % on turnover
Equity Delivery	Rs.20/- Per Order or 0.1% of turnover whichever is Lower.	0.1% of turnover
Options (Equity, Currency & Commodities)	Rs.20 Per order.	Rs.50 Per lot.
NRI - Equity Delivery	Rs. 200 per executed order or 0.5% of Turnover whichever is lower	
NRI - Futures & Options	Rs. 100 per executed order placed using a CP code	
NRI - NRO non-PIS account	Rs. 100 per executed order or 0.5% of Turnover whichever is lower	

Disclaimer: For Delivery based trades, a minimum of Rs. 0.01 will be charged per contract note. In addition to Digital Contract, if the client requires Physical Contract Note shall be charged @ Rs.50/- per Exchange per Day In addition to the brokerage charge the following charges will also be levied.

2. Schedule of Charges

Exchange Transaction charges, Stamp Duty, GST, Commodities Transaction Tax (CTT), Clearing Member Charges, Securities Transaction Tax (STT) SEBI Charges and any other statutory charges / levies, if any, as per the rate stipulated by concerned Authority as applicable.

Charges for any Value Added Services will be applicable while availing such services as per Voluntary Additional Tariff Sheet









Signature of the Client

VOLUNTARY ADDITIONAL TARIFF SHEET - TRADING ACCOUNT

In addition to the CHARGES mentioned in the Tariff Sheet, the following charges will be levied, if the Services opted by the Client.

Please sign in the relevant boxes where you wish to opt the additional services and not chosen should be struck off by the client

Trading Client ID

Other Services	Option Opted	Signature of the Client
In addition to Digital Contract, if the client requires Physical Contract Note shall be charged @ Rs. 50/- per exchange per day	Yes <input type="checkbox"/> No <input type="checkbox"/>	 12 23
Call & Trade Charges / Intraday Square Off of MIS/CO/BO Order : Rs. 20/- plus GST per executed order	Yes <input type="checkbox"/> No <input type="checkbox"/>	 13 23
UPI / Payment Gateway facility is available and charges of Rs.10/- per transaction plus GST irrespective of the amount transferred in single transaction.	Yes <input type="checkbox"/> No <input type="checkbox"/>	 14 23
Clearing Member Charges : Will be Charged in Ledger @ 0.03% on Turnover + GST for options and 0.003% on Turnover + GST for all other segments.	Yes <input type="checkbox"/> No <input type="checkbox"/>	 15 23
SMS Charges - Rs. 50/- per month	Yes <input type="checkbox"/> No <input type="checkbox"/>	 16 23
Debit my trading account for activation charges	One Time Charges <input type="checkbox"/> - Rs. 500/-	 17 23

Signature



Client Name _____

Date _____

VOLUNTARY / NON MANDATORY RIGHTS AND OBLIGATIONS OF STOCK BROKERS, SUB BROKERS AND CLIENTS

These Voluntary / Non Mandatory Rights and Obligations enumerated below are in addition to the Mandatory Rights and Obligations of Stock Brokers, Sub – Brokers and Clients to ensure smooth functioning of the operations, the parties thereto have agreed to the following terms and conditions.

1. Digitally Signed Contract Notes, Bills, Transaction Statements, Confirmation & Electronics Communication:

The client hereby agrees and permit us to provide digitally signed contract notes through email. The client also authorizes us to send Welcome Kit, Statement of Account, Statement of Margin, Funds and Securities, Ledgers, Bills, Collateral Statements, Daily Margin Statements, reports, notices, circulars, letters and other documents pertaining to the Trading Account on email address. For the sake of clarity all of the above documents are referred to as "Digital Documents". The client acknowledges that once Digital Documents are mailed by us at their email address registered with us, it shall be deemed to have been received by the client and hence there will be no further requirement on the part of us to send the same physically in the paper based format to the client unless Digital Documents are rejected by the client's email ID and is bouncing back to us.

2. Order Placement Instructions:

I understand that you require written instructions from me for placing / modifying / cancelling orders. However, it is not practical for me to give written instructions for placing / modifying / cancelling order. Even if I have facility to trade online through Internet and wireless technology, I may have to place orders by physically visiting / calling / E-mailing the SKY COMMODITIES INDIA PVT. LTD., in case of breakdown of internet connectivity or other similar reasons.

I hereby request you to kindly accept my, my authorized representative or mandate holder's verbal orders / instructions, in person or over phone and execute the same. I understand the risk associated with placement of verbal orders and accept the same. I shall not disown orders under the plea that the same were not placed by me provided I am sent ECN / Physical contract notes or trade confirmations through SMS and other modes. I indemnify SKY COMMODITIES INDIA PVT. LTD., and its employees against all losses, damages, actions which you may suffer or face, as a consequence of adhering to and carrying out my instructions for orders placed verbally.

3. PMLA Declaration:

I declare that I have read and understood the contents and the provisions of the PMLA Act 2002, which were also explained to me by SKY COMMODITIES INDIA PVT. LTD., officials. I further declare that I shall adhere to all the provisions of PMLA Act 2002. I further undertake and confirm that;

I do not have any links with any known criminal.

I am a genuine person and not involved or indulge knowingly or assisted, directly or indirectly, in any process or activity connected with the proceeds of crime nor I am a party to it. The investment money is derived from proper means and does not involve any black or Hawala money in any manner.

4. Not to act as un-registered Sub-Broker:

I undertake not to act as unregistered Sub-broker and deal only for myself and not on behalf of other clients. In case, SKY COMMODITIES INDIA PVT. LTD., perceives that I am acting as an unregistered sub-broker, SKY COMMODITIES INDIA PVT. LTD., has the right to immediately suspend my trading account and close all open positions and adjust the credits (across all segments) against the dues owned by me to SKY COMMODITIES INDIA PVT. LTD., without the requirement of any notice from SKY COMMODITIES INDIA PVT. LTD., Further, SKY COMMODITIES INDIA PVT. LTD., has the right to inform the concerned regulatory authorities about the same. In aforesaid eventuality, I agree and undertake to indemnify SKY COMMODITIES INDIA PVT. LTD., from any loss / damage / claim arising out of such activity.

5. Indemnification:

I hereby indemnify and hold SKY COMMODITIES INDIA PVT. LTD., its Directors and employees harmless from and against all claims, demands, actions, proceedings, losses, damages, liabilities, charges and / or expenses that are occasioned or may be occasioned to the SKY COMMODITIES INDIA PVT. LTD., directly or indirectly, relating to bad delivery of shares / securities and / or third party delivery, whether authorized or unauthorized and fake / forged / stolen

shares / securities / transfer documents introduced or that may be introduced by or through me during the course of my dealings / operations on the Exchanges and / or proof of address, identity and other supporting / documents provided by me at the time of registration and / or subsequently.

Indemnity of Joint Holdings:

I hereby agree to indemnify and hold the SKY COMMODITIES INDIA PVT. LTD., harmless from any claims, demands, actions, proceedings, losses, damages, liabilities, charges, and / or expenses arising from transactions in securities held jointly by me with any other person or persons, if any.

6. No Market Manipulation:

I undertake not to execute transactions, either singly or in concert with other clients, which may be viewed as manipulative trades viz. artificially raising, depressing or maintaining the price, creation of artificial volume, synchronised trades, cross trades, self trades etc., or which could be termed as manipulative or fraudulent trades by SEBI / Exchanges. Incase, I am found to be indulging in such activities, SKY COMMODITIES INDIA PVT. LTD., has every right to inform the Exchange / SEBI / other regulatory authority of the same and suspend / close my trading account.

7. Not Debarred by any Regulator:

I confirm and declare that there is no bar on me imposed by any Exchange or any Regulatory and / or Statutory authority to deal in securities directly or indirectly. I agree to inform SKY COMMODITIES INDIA PVT. LTD., in writing, of any regulatory action taken by any Exchange or Regulatory / Statutory authority on me in future. Incase, I fail to inform the same and SKY COMMODITIES INDIA PVT. LTD., on its own comes to know of such action, SKY COMMODITIES INDIA PVT. LTD., has the right to suspend / close my trading account and refuse to deal with me. Also, SKY COMMODITIES INDIA PVT. LTD., can at its sole discretion, close all the open positions and liquidate collaterals to the extent of debit balances, without any notice to me.

8. Errors & Omissions:

I understand and agree that inadvertent errors may occur, while executing orders placed by me. In such circumstances SKY COMMODITIES INDIA PVT. LTD., shall make all reasonable efforts to rectify the same and ensure that I am not put to any monetary loss. I understand and agree that I shall not hold SKY COMMODITIES INDIA PVT. LTD., responsible beyond this and claim additional damages / loss. I understand and agree that my request to modify or cancel the order shall not be deemed to have been executed unless and until the same is confirmed by SKY COMMODITIES INDIA PVT. LTD.,

9. Mutual Fund Service System (MFSS) Facility & BSE Star MF Facility :

I am interested in availing the MFSS / BSE StAR MF facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the MFSS / StAR MF of the Exchange. For the purpose of availing the MFSS / BSE StAR MF facility, I state that Know Your Client details as submitted by me for the opening of Trading Account may be considered for the purpose of MFSS / BSE StAR MF and I / we further confirm that the details contained in same remain unchanged as on date.

I am willing to abide by the terms and conditions as has been specified and as may be specified by the Exchange from time to time in this regard. I shall ensure also compliance with the requirements as may be specified from time to time by SEBI, Exchanges and AMFI.

I shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I choose to subscribe / redeem. I further agree to abide by the terms and conditions, rules and regulations of the respective Mutual Fund Schemes subscribed by me.

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Signature of Client

FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) DECLARATION

Client Code : _____

Place / City of Birth : _____ ISO Country Code of Birth : IN

Is your Country of Tax Residency other than India Yes ☐ No ☐
(Please tick at appropriate place)

If 'Yes', Please specify the details of all countries where you hold tax residency and its Tax Identification.

Number & type

S No.	Country of Tax Residency#	Tax Payer Identification Number / Functional Equivalent	Identification Type (TIN or other, please specify)
1			
2			
3			

to include all countries other than India, where investor is Citizen / Resident / Green card Holder / Tax Resident in those respective countries especially of USA

Declaration

I acknowledge and confirm that the information provided above is true and correct to the best of my knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I am aware that I may liable for it. I hereby authorize you to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to / any of the Regulators, their employees ('the Authorized parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit - India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me of the same.

Further, I authorize you to share the given information to other SEBI Registered Intermediaries/or any other regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required at your end or by domestic or overseas regulators / tax authorities. I/We authorize you to provide relevant information to the above regulators and to enable withholding any sums from my account or close or suspend my account(s) without any obligation of advising me of the same.

Signature :  

Name of the Client _____

Date _____

Place _____