

CLIENT REGISTRATION FORM



SKY BROKING
GROWING TOGETHER

Member :
**Multi Commodity Exchange
of India Limited (MCX)**

**National Stock Exchange
of India Ltd., (NSE)**

BSE Ltd., (BSE)

SEBI REG. No. :
INZ000049235

CDSL - DP REG. No. :
IN-DP-588-2021



CLIENT NAME

APPLICATION NO.

CLIENT CODE

BRANCH

ACCOUNT OPENING FORM

Member : NSE, BSE, MCX

INDEX OF DOCUMENTS

S. No.	Name of the Document	Brief Significance of the Document	Page No
--------	----------------------	------------------------------------	---------

MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

1	Account Opening Form	A. KYC form - Document captures the basic information about the constituent and an instruction/check list.	1 - 7
		B. Document captures the additional information about the Constituent Relevant to trading account and an instruction / check list.	8 - 11
2	Rights and Obligations	Document stating the Rights & Obligations of Members, Authorized Person and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	Annexure 1 & 2
3	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the Commodities & Securities Market.	Annexure - 3
4	Guidance note Do's and Don'ts	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	Annexure - 4
5	Policies and Procedures	Document describing significant policies and procedures of the stock / Commodity broker	Annexure - 5
6	Tariff sheet	Document detailing the rate / amount of brokerage levied on the client for trading in stock / commodity exchanges.	14 - 15

Annexures 1,2,3,4 & 5 are available in the link : https://skybroking.com/wp-content/uploads/footer/standard_documents_commodities.pdf
https://skybroking.com/wp-content/uploads/footer/standard_documents_securities.pdf

VOLUNTARY DOCUMENTS AS PROVIDED BY THE MEMBER

7	Voluntary Additional Tariff Sheet	Other charges levied on the client for the services opted by them, if any, in trading on the stock exchanges(s)	16
8	Voluntary Rights and Obligations	Voluntary Undertaking stating the Rights & Obligations of Stock Broker/AP's/client for trading on exchanges	17 - 18
9	Maintenance of Running A/c. - Letter of Authority	Helps the client to enjoy exposures linked to the credit in the trading account	19
10	Trade Alerts	Consent form for receiving trade alerts through SMS and / or E-mail	20
11	FATCA Declaration	FATCA & CRS Declaration	21
12	Consent for Commodity	Client Self declaration for each Commodity	21
13	Dp Documents	Demat Account Pages	23 - 33

Know Your Client (KYC)
Application Form (For Individuals Only)



CDSL VENTURES LIMITED
....Exploring New Horizons

Please fill the form in ENGLISH and in BLOCK letters
Fields marked * are mandatory
Fields marked + are pertaining to CKYC and mandatory only if processing CKYC also

Application Number:

Application Type*: New KYC Modification KYC

KYC Mode*: Please Tick (✓)

Normal EKYC OTP EKYC Biometric Online KYC Offline EKYC Digilocker

1. Identity Details (please refer guidelines overleaf)

PAN* Please enclose a duly attested copy of your PAN Card

Name* (Same as ID proof)

Maiden Name

Father / Spouse Name

Mother Name

Date of Birth*

Gender* Male Female Transgender

Marital Status* Single Married

Nationality* Indian Other

Residential Status* Resident Individual Non Resident Indian

Please Tick (✓) Foreign National Person of Indian Origin⁺

Recent passport size
Applicant Photo

Cross Signature across photograph

(Passport mandatory for NRIs and Foreign Nationals. PIO selection is only for CKYC and not for KRA KYC. Select NRI or Foreign National based on Nationality of the individual)

Proof of Identity (POI) submitted for PAN exempted cases (Please tick)

A — Aadhaar Card

(Expiry Date)

B — Passport Number

C — Voter ID Card

(Expiry Date)

D — Driving License

E — NREGA Job Card

F — NPR

Z — Others (any document notified by Central Government)

Identification Number

2. Address Details* (please refer guidelines overleaf)

A. Correspondence/ Local Address*

Line 1*

Line 2

Line3

City/Town/Village* District⁺ Pin Code*

State* Country*

Address Type* Residential/Business Residential Business Registered Office Unspecified

Applicant e-SIGN

B. Permanent residence address of applicant, if different from above A / Overseas Address* (Mandatory for NRI Applicant)Line 1* Line 2 Line3 City/
Town/Village* District* Pin Code* State* Country* Address Type* Residential/Business Residential Business Registered Office Unspecified**Proof of Address*** (attested copy of any 1 POA for correspondence and permanent address each to be submitted) A — Aadhaar Card B — Passport Number (Expiry Date) C — Voter ID Card D — Driving License (Expiry Date) E — NREGA Job Card F — NPR Letter Z—Others (any document notified by Central Government)Identification Number **3. Contact Details (in CAPITAL)**Email ID* Mobile No. * Tel (off) Tel (Res) **4. Applicant Declaration**

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

DATE: PLACE:

Applicant e-SIGN

Applicant Wet Signature

5. For Office Use Only

In-Person Verification (IPV) carried out by*

Intermediary Details*

IPV Date Emp. Name Emp. Code Emp. Designation Self certified document copies received (OVD) True Copies of documents received (Attested)

AMC / Intermediary Name :

Employee Signature and Stamp

Institution Name and Stamp

Instructions/Guidelines for filling Individual KYC Application Form

A. General Instructions:

1. Self-attestation of documents is mandatory.
2. Copies of all documents that are submitted need to be compulsorily self-attested by the applicant and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per below list mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent addresses are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/ OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/ Passport of Minor/Birth Certificate must be provided.
11. Politically exposed persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country e.g., Head of State or of Government, senior politician, senior government/judiciary/military officer, senior executive of state owned corporation, important political party official, etc.

B. Proof of Identity (POI):

1. PAN card with photograph is mandatory for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Original Verified Documents (OVD) are acceptable: Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving License / Letter issued by NPR / NREGA job card
3. If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
4. Mention identification / reference number if 'Z – Others (any document notified by the central government)' is ticked.
5. Others – Identity card with applicant's photograph issued by any of the following: Central/ State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA):

1. PoA to be submitted only if the submitted PoI does not have an address or address as per PoI is invalid or not in force.
2. Others includes – Utility bill which is not more than 3 months old of any service provider (electricity, landline telephone, piped gas, water bill); Bank account or Post Office ce savings bank account statement; Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India
3. Identity card/document with address issued by any of the following: Central/ State Government Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members.
4. Self declaration of High courts/Supreme court judges, giving the new address in respect of their own accounts.
5. For FII/Sub account, Power of attorney given by FII/Sub account to the custodians (which are duly notarized and/or apostilled or consularized) that gives registered address should be taken.
6. Proof of address in name of spouse may be accepted.
7. Registered lease or Sale agreement/ Flat maintenance bill / Insurance copy / Ration card / Latest Property tax
8. Original Verified Documents (OVD) are acceptable: Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving License / Letter issued by NPR / NREGA job card

D. Exemptions/Clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected)

1. Investments (including SIPs), in Mutual Fund schemes up to INR 50,000/- per investor per year per Mutual Fund.
2. Transactions undertaken on behalf of Central/State Government, by officials appointed by Courts, e.g., Official liquidator, Court receiver, etc.
3. Investors residing in the state of Sikkim.
4. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
5. In case of institutional clients, namely FIIs, MFs, VCFs, FVCI, Scheduled commercial bank, Multilateral and Bilateral development financial institutions, State Industrial development corporations, insurance companies registered with IRDA and public financial institutions as defined under section 4A of the Company Act 1956, custodians shall verify the PAN card details with the original PANs and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Authorized Officials Asset Management Companies (AMCs).
2. Authorized Officials of Registrar & Transfer Agent (RTA) acting on behalf of the AMC.
3. KYC compliant mutual fund distributors affiliated to Association of Mutual Funds (AMFI) and have undergone the process of 'Know Your Distributor (KYD)'.
4. Notary Public, Gazette Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
5. In case of NRIs, authorized Officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/ Consulate General in the country where the client resides are permitted to attest the documents.

F. Online Mode Processing of KYC:

1. EKYC BIOMETRIC
 - Applicant may directly upload their documents (OVD) as scanned images on intermediary's portal.
 - The documents should be e-signed.
 - Applicant details are verified using UIDAI Biometric details.
 - Original Seen Verification (OSV) of documents as well as IPV / VIPV is exempted.
 - Intermediary attestation on documents is exempted.
2. EKYC OTP
 - Applicant may directly upload their documents (OVD) as scanned images on intermediary's portal.
 - The documents should be e-signed.
 - Applicant details are verified using UIDAI details using OTP.
 - Original Seen Verification (OSV) of documents as well as IPV / VIPV is exempted.
 - Intermediary attestation on documents is exempted.
3. ONLINE KYC
 - Applicant may directly upload their documents (OVD) as scanned images on intermediary's portal.
 - The documents should be e-signed.
 - Virtual In Person Verification (VIPV) is mandatory as per SEBI guidelines.
 - Intermediary attestation on documents (OSV) is exempted.
4. OFFLINE EKYC
 - Applicant may directly upload their document (PAN copy) as scanned images on intermediary's portal.
 - The documents should be e-signed.
 - Digital KYC performed through Offline Aadhaar e-KYC. OVD sourced from Offline Aadhaar e-KYC.
 - Original Seen Verification (OSV) of documents as well as IPV / VIPV is exempted.
5. DIGILOCKER
 - Digital KYC performed through the documents (OVD) sourced from Digilocker.
 - Original Seen Verification (OSV) of documents as well as IPV / VIPV is exempted.
 - Intermediary attestation on documents is exempted.

Name of the Trading Member : **SKY COMMODITIES INDIA PVT. LTD**
SEBI Registration No. : INZ000049235
NSE : NSE Code No.90221
BSE : BSE Clearing No. 6745
MCX : MCX ID No. 46365
Name of the Clearing member : Globe Capital Market Ltd.,
SEBI Regn. No. INZ000177137
Office address : **SKY COMMODITIES INDIA PVT. LTD**
Regd. Office & Head Office:
40-49, IG TOWERS,DEVANGAPET 3RD STREET, FLOWER
COIMBATORE - 641001.
Phone : [0422- 4700800](tel:0422-4700800) E-Mail : info@skycommodities.com
Website : www.skybroking.com
Compliance officer name : **S. NARASIMHAN**
phone no : 0422- 3525944
e-mail id : compliance@skycommodities.com
Managing Director name : **S. Yuvaraj**
phone no. : [0422- 4700800](tel:0422-4700800)
E-Mail : info@skycommodities.com

For any grievance / dispute please contact SKY Commodities India Private Limited at the above address or email id compliance@skycommodities.com and Phone no. 91- 422 4700800. In case not satisfied with the response, please contact the concerned exchange(s) at

National Stock Exchange of India Ltd. (NSE)

Ph : (022) 26598190

Fax : (022) 26598191

E-mail : ignse@nse.co.in

Multi Commodity Exchange of India Ltd. (MCX)

Ph : (022) 6731 8888 Fax : (022) 6649 4151

E-mail : grievance@mcxindia.com

Website : www.mcxindia.com

Bombay Stock Exchange Ltd. (BSE)

Ph : (022) 2272 1233 / 34

Fax : (022) 2272 3677

E-mail : is@bseindia.com



Important Instructions:

- A) Fields marked with "*" are mandatory fields
- B) Tick '✓' wherever applicable
- C) Please fill the form in English and in BLOCK letters.
- D) Please fill the date in DD-MM-YYYY format.
- E) For particular section update, please tick () in the box section number and strike off the sections not required to be updated
- F) Please read section wise detailed guidelines / instructions at the end.
- G) List of State / U.T.code as per Indian Motor Vehicle Act, 1988 is available at the end.
- H) List of two character ISO 3166 country codes is available at the end.
- I) KYC number of applicant is mandatory for update application.,
- J) The 'OTP based E-KYC' check box is to be checked for accounts opened using OTP based E-KYC in non-face to face mode

For office use only (To be filled by financial institution)

Application Type* New Update

KYC Number (Mandatory for KYC update request)

Account Type* Normal Minor Aadhaar OTP based E-KYC (in non-face to face mode)

1. PERSONAL DETAILS* (Please refer instruction A at the end)

	Prefix	First Name	Middle Name	Last Name
<input type="checkbox"/> Name* (Same as ID proof)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender	
PAN*	<input type="text"/>	<input type="checkbox"/> Form 60 furnished		

2. PROOF OF IDENTITY AND ADDRESS* (Please refer instruction B at the end)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- A- Passport Number
- B-Voter ID Card
- C-Driving Licence
- D-NREGA Job Card
- E-National Population Register Letter
- F-Proof of Possession of Aadhaar
- II E-KYC Authentication
- III Offline verification of Aadhaar



Address

Line 1*

Line 2

Line 3

District* Pin/Post Code* State/U.T Code* City / Town / Village* ISO 3166 Country Code*

3. CURRENT ADDRESS DETAILS (Please refer instruction B at the end)

Same as above mentioned address (In such cases address details as below need not be provided)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- A- Passport Number
- B-Voter ID Card
- C- Driving Licence
- D-NREGA Job Card
- E- National Population Register Letter
- F - Proof of Possession of Aadhaar
- II E-KYC Authentication
- III Offline verification of Aadhaar
- IV Deemed Proof of Address - Document Type code

Address

Line 1*

Line 2

Line 3

District* Pin/Post Code* State/U.T Code* City / Town / Village* ISO 3166 Country Code*

4. CONTACT DETAILS (All communications will be sent to Mobile number / Email-ID provided) (Please refer instruction C at the end)

Tel. (Off) - Tel. (Res) - Mobile -
 Email ID

5. REMARKS (If any)

6. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

[Signature / Thumb Impression]
 Signature / Thumb Impression of Applicant

Date : - - Place :

7. ATTESTATION / FOR OFFICE USE ONLY

Documents Received Certified Copies E-KYC data received from UIDAI Data received from Offline verification Digital KYC Process
 Equivalent e-document Video Based KYC

KYC VERIFICATION CARRIED OUT BY

Date
 Emp. Name
 Emp. Code
 Emp. Designation
 Emp. Branch

[Employee Signature]

INSTITUTION DETAILS

Name SKY COMMODITIES INDIA PVT. LTD. ,
 Code I N 1 2 4 5

[Institution Stamp]

A Clarification / Guidelines on filing 'Personal Details' section

- 1 Name: The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2 One the following is mandatory : Mother's name, Spouse's name, Father's name.

B Clarification / Guidelines on filing 'Current Address details' section

- 1 In case of deemed PoA such as utility bill, the document need not be uploaded on CKYCR.
- 2 PoA to be submitted only if the submitted PoI does not have current address or address as per PoI is invalid or not in force.
- 3 State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
- 4 In Section 2, one of I, II, and III is to be selected. In case of online E-KYC authentication, II is to be selected.
- 5 In Section 3, one of I, II, III and IV is to be selected. In case of online E-KYC authentication, II is to be selected.
- 6 List of documents for 'Deemed Proof of Address':

Document Code	Description
01	Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
02	Property or Municipal tax receipt.
03	Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
04	Letter of allotment of accommodation from employer issued by State Government or Central Government Departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies and leave and licence agreements with such employes allotting official accommodation.

- 7 Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR.
- 8 "Equivalent e-document" means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation and Retention of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.
- 9 'Digital KYC process' has to be carried out as stipulated in the PML Rules, 2005.

C Clarification / Guidelines on filling 'Contact details' section

- 1 Please mention two-digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
- 2 Do not add '0' in the beginning of Mobile number.

D Clarification / Guidelines on filling 'Related Person details' section

- 1 Provide KYC number of related person, if available.

E Clarification on Minor

- 1 Guardian details are optional for minors above 10 years of age for opening of bank account only
- 2 However, in case guardian details are available for minor above 10 years of age, the same (or CKYCR number of guardian) is to be uploaded.

List of two digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State / U.T	Code	State / U.T	Code	State / U.T	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Pondicherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	CH	Lakshadweep	LD	Telangana	TS
Chattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra and Nagar Haveli	DN	Maharashtra	MH	Uttar Pradesh	UP
Daman & Diu	DD	Manipur	MN	Uttarakhand	UA
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa	GA	Mizoram	MZ	Other	XX
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Orissa	OR		

List of ISO 3166 two digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GO	Macao	MO	Sao Tome and Principe	ST
Andona	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antartica	AO	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Island	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Soloman Island	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Moyotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SI
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sini Eustallus and Saba	BO	Guatemala	GT	Nambia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guemsey	GG	Nauru	MZ	Taiwan province of china	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunel Darussalam	BN	Heard Island and Mcdonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hongkong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IO	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcaim	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanualu	VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic off	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Vietnam	VN
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands, British	VG
Cote d'Ivoire Cote d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion Reunion	RE	Virgin Island, U.S.	VI
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahana	RU
Curacao Curacao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	RW
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy Saint Barthelemy	BL	Zambia	BL
Czech Republic	CZ	Lalvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	SH
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN		KN
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		LC
Dominica	DM	Liberia	LR	Saint Martin (French part)	MF		MF

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Related Person

Important Instructions:

- A) Fields marked with '*' are mandatory fields.
- B) Tick '✓' wherever applicable
- C) Please fill the form in English and in BLOCK letters.
- D) Please fill the date in DD-MM-YYYY format.
- E) For particular section update, please tick (✓) in the box section number and strike off the sections not required to be updated.
- F) Please read section wise detailed guidelines / instructions at the end.
- G) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- H) List of two character ISO 3166 country codes is available at the end.
- I) KYC number of applicant is mandatory for update application.
- J) The 'OTP based E-KYC' check box is to be checked for accounts opened using OTP based E-KYC in non-face to face mode



For Office use only (To be filled by financial institution)	Application Type* <input type="checkbox"/> New <input type="checkbox"/> Update
	KYC Number _____ (Mandatory for KYC update request)
	Account type* <input type="checkbox"/> Normal <input type="checkbox"/> Minor <input type="checkbox"/> Aadhaar OTP based E-KYC (in non-face to face mode)

1. Details of Related Person (Please refer instruction D & E at the end)

Addition of Related Person Deletion of Related Person Updation Kyc number of related person (if available *) _____

Related Person Type * Guardian of Minor Assignee Authorized Representative

Prefix	First Name	Middle Name	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Name* (Same as ID proof)			
Maiden Name			
Father / Spouse Name			
Mother Name			
Date of Birth* DD - MM - YYYY			
Gender* <input type="checkbox"/> M-Male <input type="checkbox"/> F-Female <input type="checkbox"/> T-Transgender			
PAN* <input type="text"/> <input type="checkbox"/> Form60 furnished			

2. PROOF OF IDENTITY AND ADDRESS* (Please refer instruction B at the end)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- A- Passport Number
- B- Voter ID Card
- C- Driving Licence
- D-NREGA Job Card
- E-National Population Register Letter
- F-Proof of Possession of Aadhaar

II E-KYC Authentication

III Offline verification of Aadhaar

PHOTO *

Address

Line 1*

Line 2

Line 3 City / Town / Village

District* Pin/Post Code* State/U.T Code ISO 3166 Country Code*

3. CURRENT ADDRESS DETAILS (Please refer instruction B at the end)

Same as above mentioned address (In such cases address details as below need not be provided)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- A- Passport Number
- B- Voter ID Card
- C- Driving Licence
- D-NREGA Job Card
- E-National Population Register Letter
- F-Proof of Possession of Aadhaar

II E-KYC Authentication

III Offline verification of Aadhaar

IV Deemed Proof of Address - Document Type code

Address

Line 1*

Line 2

Line 3 City / Town / Village

District* Pin/Post Code* State/U.T Code ISO 3166 Country Code*

Address

Line 1*

Line 2

Line 3 City / Town / Village*

District* Pin/Post Code* State/U.T Code* ISO 3166 Country Code*

4. CONTACT DETAILS (All communications will be sent to mobile number/Email-ID provided) (Please refer instruction C at the end)

Tel. (Off) -- Tel. (Res) -- Mobile --

Email ID

5. REMARKS (If any)

6. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : - - Place :

Signature / Thumb Impression of Applicant

7. ATTESTATION / FOR OFFICE USE ONLY

- Documents Received Certified Copies E-KYC data received from UIDAI Data received from Offline verification Digital KYC Process
- Equivalent e-document Video Based KYC

KYC VERIFICATION CARRIED OUT BY

Date

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

[Employee Signature]

INSTITUTION DETAILS

Name SKY COMMODITIES INDIA PVT. LTD.,


Code I N 1 2 4 5

[Institution Stamp]

Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)

1. Your trading account has a “Unique Client Code” (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker’s Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.



Signature of the Client

End of Document

Handling of Good Till Cancelled Orders offered by Members to Clients

GTT order' is a feature which allows a Client to place an order when a price hits a threshold set by the Client. Also, client can set a stop loss and target for such orders. Once the condition as per set price threshold is met, the order as per the price threshold set by the Client will be placed on the Exchanges. **The validity of the trigger is One Year.** At all times while placing a GTT order, Clients are required to maintain sufficient cash balance & sufficient quantity of holdings/positions of the respective scrip in Client/s respective trading account as per the order details. In case there is insufficient cash balance or insufficient quantity of holdings/positions with respect to the GTT order at any point in time in Client/s trading and/or demat account, the GTT order may be cancelled/failed. A notification is sent to CLIENT LOGIN DRIVE.

HOW IT IS WORKING (once market open & condition met)

The Good Till Triggered (GTT) feature is an order that stays active until the trigger condition is met. GTT orders will be triggered after being matched for the condition set with the last traded price received from the exchange during a live trading session.

There are two types of GTT orders:

1. **GTT - Single** : Only a single trigger can be set where the order is placed at the exchange when the Last Traded Price (LTP) matches or breaches the trigger price. The single trigger can be used to enter new or exit positions. In simple, place either Target or Stop Loss order for the Holding (OR) place new order for buying with lower price or SL with higher price.
2. **GTT - OCO:** (One Cancels Other): Both stop loss and target trigger can be set in an OCO trigger. When either of the trigger/s is hit, the order is placed at the exchange, and the other trigger is cancelled.

CONDITION:

For e-DIS Clients : If you place a GTT order to sell securities held in demat account, then clients should need to authorize the holdings using CDSL TPIN. In case holdings are not authorised, or its validity has expired, a GTT order for sale of securities held in your demat A/c will be rejected once triggered. This is not applicable if POA or DDPI is submitted already.

The validity of a GTT (Good Till Triggered) for the equity segment is one year from the placement date. After one year, the GTT will be automatically cancelled, but it can be re-created if necessary.

In case the Trigger Price is breached during any day (which may be caused due to a gap up or gap down opening at market opening/no matches found for the GTT order while triggered), an order shall be placed at the limit price selected by the Client shall be cancelled at the end of such trading session; in case such limit price is not met during the day;

Handling GTT Orders incase of Corporation Actions :

In case there is a change in exchange series or any corporate action, such as; splits, bonuses, dividends of extraordinary nature (above 5% of market price), merger, reverse mergers, amalgamations, takeover, delisting, rights issue, etc. where there is a significant impact/change in the scrip price, the GTT orders may be cancelled at the sole discretion of our RMS Team, 1 day prior to the Ex-date of such corporate action effect taking place on the stock price and the client/s will be intimated.

Other Important Points :

The onus of checking for a pending GTT order for a scrip before placing any new order(s) for the same scrip from the order window, positions page, or via call and trade lies on the Client.

If the Client converts position taken from a GTT order i.e Intraday to Delivery or Delivery to Intraday, the onus to cancel connected target or stop-loss leg lies on the Client.

GTT orders for derivative contracts are only valid for the lifetime of the contract only.

SKY, at all times, bears no liability towards the Clients for any reason whatsoever with respect to using the 'GTT order' feature; including but not limited to, non-execution of any order using the 'GTT order' feature/either leg of the order, any opportunity loss for non-execution of such orders/trades, any cancellation or non-placement of any orders and any such other claims which may arise from Clients with respect to using the 'GTT order' feature.



Signature of the Client

Annexure - 1
Part - II

A. OTHER DETAILS

1 Gross Annual Income Details (please specify) :

Income Range per annum : <input type="checkbox"/> Below Rs.1 Lac <input type="checkbox"/> Rs.1-5 Lac <input type="checkbox"/> Rs.5-10 Lac <input type="checkbox"/> Rs.10-25 Lac <input type="checkbox"/> > Rs.25 Lac OR					
Networth as on (date)		(Rs.)		(Net worth should not be older than 1 year)	
2.Occupation (Please tick any one and give brief details)		<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> House Wife <input type="checkbox"/> Student <input type="checkbox"/> Others (Specify)			
Name of Employer/ Establishment					
Designation		Period of Service :			
Address (Office)					
Contact Details (Off.)		Phone:	Fax:	Email:	
3.Please Tick, as Applicable		<input type="checkbox"/> Politically Exposed Person (PEP) / <input type="checkbox"/> Related to a Politically Exposed Person (PEP) <input type="checkbox"/> Not a Politically Exposed Person (PEP)/ <input type="checkbox"/> Not a Related to a Politically Exposed Person (PEP)			

B. BANK ACCOUNT (S) DETAILS (Please attach separate sheet & documentary proof if more than two Bank accounts has to be registered)

Bank Name		Bank A/C Type	<input type="checkbox"/> Savings/ <input type="checkbox"/> Current / <input type="checkbox"/> Others-in case Of NRI/NRE/NRO		
Bank A/C Number*					
Bank Branch Address					
MICR		RTGS/NEFT/IFSC Code			

*Payment will be issued to the said Bank account by default unless & otherwise specified

C. DEPOSITORY ACCOUNT(S) DETAILS, if available (Attach Client Documentary Proof for each client ID)

Depository Participant Name (DP)	Depository Name(NSDL/CDSL)	Beneficiary Name	DP ID	Beneficiary ID (BO ID)

Note : Provide a copy of either Demat Master or a recent holding statement issued by DP bearing name of the client.

D. TRADING PREFERENCES

Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.

Exchanges	NSE, BSE & MSEI				MCX, NCDEX, BSE & NSE	
	All Segments	Cash / Mutual Fund	F&O	Currency	Debt	Commodity Derivatives
<input type="checkbox"/>						

If you do not wish to trade in any of segments / Mutual Fund, please mention here _____

E. Investment / Trading Experience	<input type="checkbox"/> No Prior Experience	in Commodities
	Years in other Investment related field	

F. PAST REGULATORY ACTIONS

Details of any action/proceedings initiated/pending/taken by FMC / SEBI / Stock exchange / Commodity exchange any other authority against the client dealing in commodities during the last 3 years :	<input type="checkbox"/> Yes <input type="checkbox"/> No
---	--

G. DEALINGS THROUGH OTHER MEMBERS

If client is dealing through the Member, provide the following details (in case dealing with Multiple Members, Provide details of all in a separate sheet containing all the information as mentioned below):				
Members / Authorized Person (AP)'s Name	Exchange & Exchange's Registration No.	Concerned Members Name With whom the Ap is registered	Registered Office Address Phone I Fax / Email / Web Details	Client Code

H. INTRODUCER DETAILS (Optional)

Name of the Introducer	
Status of the Introducer	<input type="checkbox"/> Authorized Person / <input type="checkbox"/> Existing Client / <input type="checkbox"/> Others, please specify :
Address of the Introducer	
Phone No. of the Introducer	
Signature of the Introducer	

I. ADDITIONAL DETAILS

I wish to open Trading Account Online and would like to share the Aadhaar data and documents with KRA for validation purposes.	
<input type="checkbox"/> Yes	<input type="checkbox"/> No
Whether you wish to receive communication from Member in electronic form on your Email ID : If yes specify your Email ID	
<input type="checkbox"/> Yes	<input type="checkbox"/> No
Whether you wish to receive Risk Disclosure Document (Annexure-2), Rights and Obligations of Member, Authorised persons and Clients (Annexure-3), Do's and Don'ts for the clients (Annexure-4) and policies and Procedures (Annexure-5), Welcome Kit, RMS Policy of member in Electronic form on your email id:	
<input type="checkbox"/> Yes	<input type="checkbox"/> No
Online Trading Facility :	<input type="checkbox"/> Wireless <input type="checkbox"/> EXE <input type="checkbox"/> WEB
Consent for downloading CKYC record from CKYCRR and KRA Portals for validation purposes.	
<input type="checkbox"/> Yes <input type="checkbox"/> No	

DECLARATION

- I / We hereby declare that the details furnished above are true and correct to the best of my/our Knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am /we are aware that I/ we may be held liable for it.
- I/We confirm having read/been explained and understood the contents of the tariff sheet and all voluntary/ non, mandatory documents.
- I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s), Risk Disclosure Document' and 'Do's and Don's'I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have Also been informed that the standard set of documents has been displayed for information on Member's designated website,

PLACE _____

DATE _____



Signature of Client

FOR OFFICE USE ONLY

UCC Code allotted to the Client :

--

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the Employee			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

For SKY COMMODITIES INDIA PVT. LTD.,

Authorized Signatory

Date :

Seal/Stamp of the stock broker

Nomination Form

SKY COMMODITIES INDIA PVT. LTD 40-49, IG TOWERS, DEVANGAPET 3RD STREET, FLOWER MARKET, COIMBATORE641001.	FORM FOR NOMINATION <i>(To be filled in by individual applying singly or jointly)</i>
--	---

Date		UCC/ DP ID		/	12092800	Client ID	
------	--	------------	--	---	----------	-----------	--

I/We wish to make a nomination. [As per details given below]

NOMINATION DETAILS

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

Nomination can be made upto three nominees in the account	Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
1. Name of the nominee(s) Mr./Ms.)			
2. Share of each Nominee	%	%	%
Equally [If not equally, please specify percentage]	Any odd lot after division shall be transferred to the first nominee mentioned in the form.		
3. Relationship with the Applicant (if any)			
4. Address of Nominee(s) City / Place: State & Country:			
PIN Code			
5. Mobile/Telephone No. of Nominee(s)			
6. Email ID of nominee(s)			
7. Nominee Identification details [Please tick any one of following and provide details of same]			
<input type="checkbox"/> Photograph & Signature			
<input type="checkbox"/> PAN			
<input type="checkbox"/> Date of Birth			
<input type="checkbox"/> Aadhaar			
<input type="checkbox"/> Saving Bank A/c No.			
<input type="checkbox"/> Proof of Identity			
<input type="checkbox"/> Demat Account ID			

Sr. Nos. 8-14 should be filled only if nominee(s) is a minor :

8	Date of Birth {in case of minor nominee(s)}		
9	Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}		
10	Address of Guardian(s) City / Place: State & Country:		
	PIN Code		

11	Mobile / Telephone no. of Guardian			
12	Email ID of Guardian			
13	Relationship of Guardian with nominee			
14	Guardian Identification details –[Please tick any one of following and provide details of same]			
	<input type="checkbox"/> Photograph & Signature			
	<input type="checkbox"/> PAN			
	<input type="checkbox"/> Date of Birth			
	<input type="checkbox"/> Aadhaar			
	<input type="checkbox"/> Saving Bank A/c No.			
	<input type="checkbox"/> Proof of Identity			
	<input type="checkbox"/> Demat Account ID			
		Name(s) of Holder(s)		Signature(s) of Holder
	Sole/First Holder (Mr./Ms.)			
	Second Holder (Mr./Ms.)			
	Third Holder (Mr./Ms.)			

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Note:

This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

Declaration Form for opting out of nomination

To	Date								
----	------	--	--	--	--	--	--	--	--

SKY COMMODITIES INDIA PVT. LTD
 40-49, IG TOWERS,DEVANGAPET 3RD STREET, FLOWER MARKET, COIMBATORE 641001.

UCC/DP ID		/	12092800
Client ID (only for Demat account)			
Sole/First Holder Name			
Second Holder Name			
Third Holder Name			

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

Name and Signature of Holder(s)*

1. _____ 2. _____ 3. _____

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Kindly read the following instructions before filling up Nomination Details

1. The nomination can be made only by Individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-Individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family (HUF), Holder of Power of attorney cannot nominate. If the account is held jointly, all the joint holders must sign the nomination form.
2. Nomination can be made in favour of a Minor, in that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner(s). Guardian should be someone other than the holders of the beneficiary account.
3. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a Family or a Power of attorney holder. A Non-resident Indian (NRI) can be Nominee subject to the RBI Regulations in force, from time to time.
4. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly the nomination in respect of the securities shall stand terminated upon transfer of the securities.
5. Transfer of securities in favour of a nominee shall be valid discharge by the Depository or Depository Participant against the legal heirs of the beneficial owner(s).
6. The cancellation of Nomination can be made by the same persons who made the original nomination. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family (HUF), Holder of Power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all the joint holders must sign the cancellation form.
7. On cancellation of nomination, the nomination shall stand rescinded and the Depository / Depository Participant shall not be under any obligation to transfer the securities in favour of the Nominee.
8. Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of shares for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
9. In case you do not wish to nominate, we request you to tick the first option.

(To be filled by DP)

Nomination accepted and registered vide Registration No. _____ dated _____

For **SKY COMMODITIES INDIA PVT. LTD.**

Authorised Signatory

DECLARATIONS

I/We have received and read the Rights and Obligations document of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I / We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action. In case of non-resident account, I/We also declare that I/We have complied and will continue to comply with FEMA regulations.

I/We hereby confirm that I/We will act on my/our own behalf and will continue to act to my/our behalf unless otherwise communicated in writing.

DECLARATIONS RE : PREVENTION OF MONEY LAUNDERING

Prevention of Money Laundering Act, 2002 (hereinafter referred to as "Act") came into effect from July 1, 2005 vide Notification No. GSR 438(E) dated July, 1 2005 issued by Department of Revenue, Ministry of Finance, Government of India. Further SEBI vide its circular reference number ISD/CIR/RR/AML/1/06 dated January 18, 2006 mandated that all intermediaries should formulate and implement a proper policy framework as per the guidelines on anti money laundering measures and also to adopt a Know Your Customer (KYC) policy. The intermediaries may, according to their requirements specify additional disclosures to be made by clients for the purpose of identifying , monitoring and reporting incidents of money laundering and suspicious transactions undertaken by clients. SEBI also issued another circular reference no. ISD/CIR/RR/AML/2/06 dated March 20, 2006 advising all intermediaries to take necessary steps to ensure compliance with the requirement of section 12 of the Act, interalia, maintenance and preservation of records and reporting of information relating to cash and suspicious transactions to Financial Intelligence Unit - India (FIU-IND), New Delhi. The Investor should ensure that the amount invested in the securities and represented as credit in their demat account is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act, Prevention of Corruption Act and/or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued thereunder.

To ensure appropriate identification of the customer(s) under its KYC policy and with a view to monitor transactions, SKY COMMODITIES INDIA PVT. LTD., DP reserves the right to seek information, record customer's telephonic calls and/or obtain and retain documentation for establishing the identity of the customer, proof of residence, source of funds, etc. It may re-verify identity, seek and obtain any incomplete or additional information for this purpose.

Signatures	 First / Sole Holder	 Second Holder	 Third Holder
Name			

(Signatures should be preferably in blue ink).

TARIFF SHEET – TRADING ACCOUNT

1. Rate of Brokerage Brokerage details

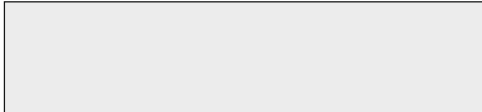
Charge Head	<input type="checkbox"/> SKY DISCOUNT	<input type="checkbox"/> SKY PRIME
Intraday - Futures (Equity, Currency & Commodities)	Rs.20/- Per Order or 0.03 % on turnover whichever is lower.	1% (Commodities)2.5%,(Equity &Currency) or Rs.100 per lot whichever is lower
Equity Delivery	Rs.20/- Per Order or 0.1% of turnover whichever is Lower.	1% of turnover
Options (Equity, Currency & Commodities)	Rs.20 Per order.	Rs.100 Per lot.
NRI - Equity Delivery	Rs. 200 per executed order or 0.5% of Turnover whichever is lower	
NRI - Futures & Options	Rs. 100 per executed order placed using a CP code	
NRI - NRO non-PIS account	Rs. 100 per executed order or 0.5% of Turnover whichever is lower	

Disclaimer: For Delivery based trades, a minimum of Rs. 0.01 will be charged per contract note. In addition to Digital Contract, if the client requires Physical Contract Note shall be charged @ Rs.50/- per Exchange per Day In addition to the brokerage charge the following charges will also be levied.

2. Schedule of Charges

Exchange Transaction charges, Stamp Duty, GST, Commodities Transaction Tax (CTT), Clearing Member Charges, Securities Transaction Tax (STT) SEBI Charges and any other statutory charges / levies, if any, as per the rate stipulated by concerned Authority as applicable.

Charges for any Value Added Services will be applicable while availing such services as per Voluntary Additional Tariff Sheet









Signature of the Client

VOLUNTARY ADDITIONAL TARIFF SHEET – TRADING ACCOUNT

In addition to the CHARGES mentioned in the Tariff Sheet, the following charges will be levied , if the Services opted by the Client.

Please sign in the relevant boxes where you wish to opt the additional services and not chosen should be struck off by the client.

Trading Code _____

Other Services	Option Opted	Signature of the Client
In addition to Digital Contract, if the client requires Physical Contract Note shall be charged @ Rs.50/- per Exchange per Day	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Call & Trade /Offline Trade/Intraday Square Off of MIS/CO/BO Order : Rs.50/- plus GST per executed order.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
UPI/ Payment Gateway facility is available and charges of Rs.10/- per transaction plus GST irrespective of the amount transferred in single transaction	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Clearing Member Charges : Will be Charged in Ledger @ 0.03% on Tunrover + GST for Options and 0.003% on Turnover + GST for all other segments.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
SMS Charges - Rs.50/- per month	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Debit my trading account for Activation charges.	One Time charge - Rs. 500/- <input type="checkbox"/> Yes	

Signature 

Client Name _____

Date _____

VOLUNTARY / NON MANDATORY RIGHTS AND OBLIGATIONS OF STOCK BROKERS, SUB BROKERS AND CLIENTS

These Voluntary / Non Mandatory Rights and Obligations enumerated below are in addition to the Mandatory Rights and Obligations of Stock Brokers, Sub – Brokers and Clients to ensure smooth functioning of the operations, the parties thereto have agreed to the following terms and conditions.

1. Digitally Signed Contract Notes, Bills, Transaction Statements, Confirmation & Electronics Communication:

The client hereby agrees and permit us to provide digitally signed contract notes through email. The client also authorizes us to send Welcome Kit, Statement of Account, Statement of Margin, Funds and Securities, Ledgers, Bills, Collateral Statements, Daily Margin Statements, reports, notices, circulars, letters and other documents pertaining to the Trading Account on email address. For the sake of clarity all of the above documents are referred to as “Digital Documents”. The client acknowledges that once Digital Documents are mailed by us at their email address registered with us, it shall be deemed to have been received by the client and hence there will be no further requirement on the part of us to send the same physically in the paper based format to the client unless Digital Documents are rejected by the client's email ID and is bouncing back to us.

2. Order Placement Instructions:

I understand that you require written instructions from me for placing / modifying / cancelling orders. However, it is not practical for me to give written instructions for placing / modifying / cancelling order. Even if I have facility to trade online through Internet and wireless technology, I may have to place orders by physically visiting / calling / E-mailing the SKY COMMODITIES INDIA PVT. LTD., in case of breakdown of internet connectivity or other similar reasons.

I hereby request you to kindly accept my, my authorized representative or mandate holder's verbal orders / instructions, in person or over phone and execute the same. I understand the risk associated with placement of verbal orders and accept the same. I shall not disown orders under the plea that the same were not placed by me provided I am sent ECN / Physical contract notes or trade confirmations through SMS and other modes. I indemnify SKY COMMODITIES INDIA PVT. LTD., and its employees against all losses, damages, actions which you may suffer or face, as a consequence of adhering to and carrying out my instructions for orders placed verbally.

3. PMLA Declaration:

I declare that I have read and understood the contents and the provisions of the PMLA Act 2002, which were also explained to me by SKY COMMODITIES INDIA PVT. LTD., officials. I further declare that I shall adhere to all the provisions of PMLA Act 2002. I further undertake and confirm that;

I do not have any links with any known criminal.

I am a genuine person and not involved or indulge knowingly or assisted, directly or indirectly, in any process or activity connected with the proceeds of crime nor I am a party to it. The investment money is derived from proper means and does not involve any black or Hawala money in any manner.

4. Not to act as un-registered Sub-Broker:

I undertake not to act as unregistered Sub-broker and deal only for myself and not on behalf of other clients. In case, SKY COMMODITIES INDIA PVT. LTD., perceives that I am acting as an unregistered sub-broker, SKY COMMODITIES INDIA PVT. LTD., has the right to immediately suspend my trading account and close all open positions and adjust the credits (across all segments) against the dues owned by me to SKY COMMODITIES INDIA PVT. LTD., without the requirement of any notice from SKY COMMODITIES INDIA PVT. LTD., Further, SKY COMMODITIES INDIA PVT. LTD., has the right to inform the concerned regulatory authorities about the same. In aforesaid eventuality, I agree and undertake to indemnify SKY COMMODITIES INDIA PVT. LTD., from any loss / damage / claim arising out of such activity.

5. Indemnification:

I hereby indemnify and hold SKY COMMODITIES INDIA PVT. LTD., its Directors and employees harmless from and against all claims, demands, actions, proceedings, losses, damages, liabilities, charges and / or expenses that are occasioned or may be occasioned to the SKY COMMODITIES INDIA PVT. LTD., directly or indirectly, relating to bad delivery of shares / securities and / or third party delivery, whether authorized or unauthorized and fake / forged / stolen

shares / securities / transfer documents introduced or that may be introduced by or through me during the course of my dealings / operations on the Exchanges and / or proof of address, identity and other supporting / documents provided by me at the time of registration and / or subsequently.

Indemnity of Joint Holdings:

I hereby agree to indemnify and hold the SKY COMMODITIES INDIA PVT. LTD., harmless from any claims, demands, actions, proceedings, losses, damages, liabilities, charges, and / or expenses arising from transactions in securities held jointly by me with any other person or persons, if any.

6. No Market Manipulation:

I undertake not to execute transactions, either singly or in concert with other clients, which may be viewed as manipulative trades viz. artificially raising, depressing or maintaining the price, creation of artificial volume, synchronised trades, cross trades, self trades etc., or which could be termed as manipulative or fraudulent trades by SEBI / Exchanges. Incase, I am found to be indulging in such activities, SKY COMMODITIES INDIA PVT. LTD., has every right to inform the Exchange / SEBI / other regulatory authority of the same and suspend / close my trading account.

7. Not Debarred by any Regulator:

I confirm and declare that there is no bar on me imposed by any Exchange or any Regulatory and / or Statutory authority to deal in securities directly or indirectly. I agree to inform SKY COMMODITIES INDIA PVT. LTD., in writing, of any regulatory action taken by any Exchange or Regulatory / Statutory authority on me in future. Incase, I fail to inform the same and SKY COMMODITIES INDIA PVT. LTD., on its own comes to know of such action, SKY COMMODITIES INDIA PVT. LTD., has the right to suspend / close my trading account and refuse to deal with me. Also, SKY COMMODITIES INDIA PVT. LTD., can at its sole discretion, close all the open positions and liquidate collaterals to the extent of debit balances, without any notice to me.

8. Errors & Omissions:

I understand and agree that inadvertent errors may occur, while executing orders placed by me. In such circumstances SKY COMMODITIES INDIA PVT. LTD., shall make all reasonable efforts to rectify the same and ensure that I am not put to any monetary loss. I understand and agree that I shall not hold SKY COMMODITIES INDIA PVT. LTD., responsible beyond this and claim additional damages / loss. I understand and agree that my request to modify or cancel the order shall not be deemed to have been executed unless and until the same is confirmed by SKY COMMODITIES INDIA PVT. LTD.,

9. Mutual Fund Service System (MFSS) Facility & BSE Star MF Facility :

I am interested in availing the MFSS / BSE StAR MF facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the MFSS / StAR MF of the Exchange. For the purpose of availing the MFSS / BSE StAR MF facility, I state that Know Your Client details as submitted by me for the opening of Trading Account may be considered for the purpose of MFSS / BSE StAR MF and I / we further confirm that the details contained in same remain unchanged as on date.

I am willing to abide by the terms and conditions as has been specified and as may be specified by the Exchange from time to time in this regard. I shall ensure also compliance with the requirements as may be specified from time to time by SEBI, Exchanges and AMFI.

I shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I choose to subscribe / redeem. I further agree to abide by the terms and conditions, rules and regulations of the respective Mutual Fund Schemes subscribed by me.

	20
	34

Signature of Client

Maintenance of Running A/c.- Letter of Authority

To

M/s. SKY Commodities India Private Ltd.,
40-49, IG TOWERS, DEVANGAPET 3RD STREET,
FLOWER MARKET, COIMBATORE 641001.

Dear Sir,

I / we are dealing through you as a client in Capital Market and / or F & O segment and / or Currency Segment and / or Commodity Segment and / or Interest Rate Future Segment and / or Debt Segment and in order to facilitate ease of operations and upfront requirement of margin for trade. I / we authorize you as under:

I / we request you to maintain running balance in my account and retain the credit balance in any of my / our account and to use the unused funds towards my / our margin pay-in / other future obligation(s) at any segment(s) of any or all the Exchange(s) / Clearing Corporation unless I / we instruct you otherwise

I / we request you to retain securities with you for my / our margin / pay-in / other future obligation(s) at any segment(s) of any or all the Exchange(s) / Clearing Corporation, unless I / we requested you to transfer the same to my / our account.

I / we further authorize SKY COMMODITIES INDIA PVT. LTD., to settle the funds and securities atleast once in a calendar quarter / month as specified by me below in accordance with regulations in force.

Monthly Quarterly

In case I / we have an outstanding obligation on the settlement date, you may retain the requisite securities / funds towards such obligations and may also retain the funds expected to be required to meet margin obligation for next 5 trading days, calculated in the manner specified by the exchanges.

I / we agree to continue with the authorization to maintain a running account till such time the same is expressly revoked. I / we understand that SKY COMMODITIES INDIA PVT. LTD., shall act upon such revocation request upon receipt of the original revocation request.

REF: Circular Ref. No.: 169/2013 & Download Ref. No.: NSE/INSP/24849 issued by NSE on October 29, 2013 regarding quarterly / monthly settlement of running accounts of clients.

I am aware that the Member may retain additional margin requirement of maximum upto 125 % of margin requirement on the day of settlement to take care of any margin obligation arising in next 5 days for my open positions .

By considering the administrative / operational difficulties in settling the accounts for regular trading clients, I request you to kindly retain an amount of up to RS 10,000/- while settling the account.

Yours faithfully,

Signature 

Client Name _____

Date _____

SMS AND EMAIL ALERTS FACILITY

To

The Compliance Officer

SKY Commodities India Private Ltd.,

40-49, IG TOWERS, DEVANGAPET 3RD STREET, FLOWER
COIMBATORE - 641001.

Dear Sir,

I/we, _____, a Client with M/s.Sky Commodities India P Ltd.

Undertake as follows:

- 1 I/We are aware that Exchanges provides the details of the trades executed on its trading platform to the concerned clients/constituents through SMS and Email alerts.
- 2 I/We are aware that the Exchange has to provide the trade details through SMS/Email alerts for my convenience at my request only.
- 3 I/We hereby confirm that I/we wish to receive the trade alerts through:

a. SMS :

b. Email :

c. SMS & Email :

The alerts should be sent on: Mobile No. (Enter 10 digits : mobile no.)

--	--	--	--	--	--	--	--	--	--

Email id _____

I/we hereby agree to the terms and conditions specified by the Exchange in its circular No. MCX/T&S/165/2012 and circulars/clarifications issued from time to time. We are also aware that this is an additional facility provided by the Exchange and we shall not solely rely or use such data for any purpose and, Exchange shall not be liable for any direct or indirect loss of any nature because of providing this additional facility.

Name of Client : _____

Unique Client Code : _____

PAN : _____



Client Signature

FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) DECLARATION

Client Code : _____

Client ID : _____

Place / City of Birth : _____

ISO Country Code of Birth : IN

Is your Country of Tax Residency other than India

Yes

No

(Please tick at appropriate place)

If 'Yes', Please specify the details of all countries where you hold tax residency and its Tax Identification Number & type

S No.	Country of Tax Residency#	Tax Payer Identification Number / Functional Equivalent	Identification Type (TIN or other, please specify)
1			
2			
3			

to include all countries other than India, where investor is Citizen / Resident / Green card Holder / Tax Resident in those respective countries especially of USA

DECLARATION

I acknowledge and confirm that the information provided above is true and correct to the best of my knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I am aware that I may liable for it. I hereby authorize you to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to / any of the Regulators, their employees ('the Authorized parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit - India (FIUIND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me of the same.

Further, I authorize you to share the given information to other SEBI Registered Intermediaries/or any other regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required at your end or by domestic or overseas regulators / tax authorities. I/We authorize you to provide relevant information to the above regulators and to enable withholding any sums from my account or close or suspend my account(s) without any obligation of advising me of the same.

Signature :



Name of the Client : _____

Date : _____

Place : _____

With reference to the SEBI Circular No. SEBI/HO/CDMRD/DNPMP/CIR/P/2019/08 Dated, January 04, 2019, In order to enhance transparency to the public in Commodity Derivatives Markets and also as recommended by Commodity Derivatives Advisory Committee (CDAC), we request your consent for each Commodity on Self Declaration basis for the following to report to Exchange.

Symbol	FPOs/ Farmers	VCPs/ Hedgers	Proprietary Traders	Domestic Financial Institutional Investors	Foreign Participants	Others
ALUMINIUM						
BRASS						
CARDAMOM						
CASTORSEED						
COPPER						
COTTON						
CRUPALMOIL						
CRUDEOIL						
GOLD						
LEAD						
MENTHAOIL						
NATURALGAS						
NICKEL						
PEPPER						
RBDPMOLEIN						
SILVER						
ZINC						
KAPAS						
RUBBER						
MCXBULLDEX						
MCXMETLDEX						
MCXICOMDEX						

 Signature of Client 

24
34

Name : _____

Client Code : _____

Annexure 2.1

ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT

(To be filled by the Depository Participant)

Application Form No.		Date															
DP Internal Reference No.	Exchange ID : 90221		UCC Code :														
DP ID	1	2	0	9	2	8	0	0	Client ID								

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a demat account in my/ our name as per following details :-

Holder's Details

Sole/ First Holder's Name		PAN							
		UID							
Second Holder's Name		PAN							
		UID							
Third Holder's Name		PAN							
		UID							

Name *	
* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Although the account is opened in the name of natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Should be mentioned above.	

Type of Account (Please tick whichever is applicable)

Status	Sub - Status
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/c (MANTRA) <input type="checkbox"/> Individual - Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository receipts <input type="checkbox"/> Others(specify) _____

Details of Guardian (Incase the account holder is a minor)

Guardian's Name	PAN
Relationship with the Applicant	

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
I / We request you to send Electronic Transaction-cum-Holding statement at the email ID	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Copy of Charges for Depository Services - Schedule A & Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories.	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic
I / We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
I would like to share the Aadhaar data and documents with KRA for validation purposes.	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Annual Report (Tick the applicable box. If not marked, the default option would be in Physical)	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical and Electronic
I/We wish to receive dividend / interest directly in to my/our bank account given below through ECS? (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No

25
34

Signatures

Sole / First Holder

Second Holder

Third Holder

Third Holder

Second Holder

Sole / First Holder

Signatures
KRA Valide Purpose

Bank Details (Dividend Bank Details) :			
Bank Code (9 digit MICR code)			
IFS Code (11 character)			
Account Number			
Account Type	<input type="checkbox"/> Savings/ <input type="checkbox"/> Current / <input type="checkbox"/> Others (Specify) _____		
Bank Name			
Branch Name			
Bank Branch Address			
	City		State
	Country		Pincode
<p>Submit Bank proof as per following :</p> <p>(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)</p> <p>(ii) Photocopy of the Bank Statement having name and address of the BO , (or)</p> <p>(iii) Photocopy of the Passbook having name and address of the BO, (or)</p> <p>(iv) Letter from the Bank.</p> <p>a) In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.</p>			
Account to be operated through Power of Attorney (POA)		<input type="checkbox"/> Yes	<input type="checkbox"/> No
SMS Alert Facility Refer to Terms & Conditions given as Annexure-2.4	Mobile No. +91 _____ [[Mandatory, if you are giving Power of Attorney (POA)] If POA is not granted you do not wish to avail of this facility, cancel this option.).	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure-2.6	I wish to avail the TRUST facility the mobile number registered for SMS Alert Facility. I have read and understood the Terms & Conditions prescribed by CDSL for the same. <input type="checkbox"/> Yes <input type="checkbox"/> No		
	I wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST		
	Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (optional)
	NSE / 90221	SKY COMMODITIES INDIA PVT. LTD	
BSE / 6745	SKY COMMODITIES INDIA PVT. LTD		
Easi	To / We register for easi, please visit our website www.cdslindia.com . <input type="checkbox"/> Yes Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online. <input type="checkbox"/> No		
Consent for downloading CKYC record form CKYCRR and KRA Portals for validation purposes.			<input type="checkbox"/> Yes <input type="checkbox"/> No



Signatures

Sole / First Holder

Second Holder

Third Holder

**Schedule-A Tariff Structure
For individuals/HUF/corporates**

Annual Maintenance Charges (charged quarterly)	
a. Individuals/Non Individual (except Corporate & NRIs)	Rs.300/- + GST
b.Non Individual - Corporate	Rs.500/- + GST
NRIs	Rs.500/- + GST
Documentation Charges	Rs.175/-
Transaction Charges : (Market Trades)	
Buy(Receive) / Sell (Debit)	NIL / A flat charge of Rs. 20/- plus applicable GST
Transaction Charges : (Off-Market Trades)	
Buy(Receive) / Sell (Debit)	NIL / Rs. 50/- per ISIN or 0.05% whichever is higher
Demat (Per certificate)	Rs. 50/- per certificate
Remat (Per certificate)	Rs. 50/- per certificate
Courier charges per Demat/Remat/Demat Rejn./CMR	Rs. 100/- per rejection / request
Pledge Request	Rs. 50/- per transaction / ISIN
Unpledge Request	A flat charge Rs. 20/- plus applicable GST
Pledge invocation	Rs. 50/- per transaction / ISIN
Margin Pledge	A flat charge Rs. 20/- plus applicable GST
Margin Unpledge	A flat charge Rs. 20/- plus applicable GST
Margin Repledge	CDSL Actual charges
SLB Charges	For Security Borrowing : Rs.25/- plus Depository charges
	For Security Lending : Rs.25/- plus Depository charges
Same Day Execution - Per Instruction / Per Scrip	Rs.50/- or 0.025% whichever is higher.
Periodic Statement	
Email statement	Periodic Bills and Transactions statements and other communications will be sent by email only. Requests for Physical statements will be charged as mentioned below:
Adhoc / Non Periodic Statement Requests	
a. By Email	Free
b. Physical	Rs.50/- per request upto 10 pages & Multiples of Rs.50/- for every (upto) 10 pages plus courier charges.
Delivery instruction	
a. First Delivery Instruction Book	Free (2 Leaves)
b. Every Addl Booklet (2 Leaves) - upto 5 scrips per leaf	Rs.500/-
Cheque Bounce Charges	Charges will be applied based on charges as determined by our Bankers
Failed Transactions	Rs. 30 per ISIN

NOTE :

For all purposes the bill date shall be construed as the date demand and the bills will be considered as the bill cum notice for payment and SKY COMMODITIES INDIA PVT. LTD., reserves the right to freeze depository account for debit transaction in case of non payment of charges after two days from the bill date.

The above tariff is subject to change. Changes if any will be intimated 30 days in advance. Annual Maintenance Charge (AMC) is non refundable. GST is applicable on all above charges except stamp charges. I/We understand that any instruction provided by me to SKY COMMODITIES INDIA PVT. LTD., to transfer securities from my account shall be rejected by SKY COMMODITIES INDIA PVT. LTD., if there is any debit balance or any unpaid amounts due as per this tariff sheet to SKY COMMODITIES INDIA PVT. LTD., .

Signatures	 28 34		
	First / Sole Holder	Second Holder	Third Holder
Name			

ANNEXURE - 2.4
Terms And Conditions-cum-Registration Form for receiving SMS Alerts from CDSL
[SMS Alerts will be sent by CDSL to Bos for all debits.]

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository (India) Limited, a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai - 400 001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of Dps who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers from the CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his Bo account / unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about such unauthorized debit to / transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I / We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the Bos would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION/ MODIFICATION** (Please cancel out what is not applicable)

BO ID	1	2	0	9	2	8	0	0									
	(Please write your 8 digit DP ID)								(Please write your 8 digit Client ID)								

Sole/ First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile Number on which messages are to be sent

+91																	
-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of: _____

Email ID : _____
(Please write only ONE valid email ID on which communication; if any, is to be sent)



Signatures _____
Sole / First Holder

Second Holder

Third Holder

Place : _____ Date : _____

ANNEXURE - 2.6

Terms And Conditions for availing Transaction Using Secured Texting (TRUST) Service offered by CDSL

1. Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- i. "Depository" means Central Depository Services (India) Limited (CDSL)
 - ii. TRUST means "Transactions Using Secured Texting" service offered by the Depository.
 - iii. "Service Provider" means a cellular service provider(s) with whom the Depository has entered / shall enter into an arrangement for providing the TRUST service to the BO.
 - iv. "Service" means the service of providing facility to receive/give instructions through SMS on best effort basis as per the following terms and conditions. The types of transaction that would normally qualify for this type of service would be informed by CDSL from time to time.
 - v. "Third Party" means the operators with whom the Service Provider is having/will have an arrangement for providing SMS to the BO.
2. The service will be provided to the BO at his / her request and at the discretion of the depository provided the BO has registered for this facility with their mobile numbers through their DP or by any other mode as informed by CDSL from time to time . Acceptance of application shall be subject to the verification of the information provided by the BO to the Depository.
 3. The messages will be sent on best efforts basis by way of an SMS on the mobile no which has been provided by the BOs. However Depository shall not be responsible if messages are not received or sent for any reason whatsoever , including but not limited to the failure of the service provider or network.
 4. The BO is responsible for promptly informing its DP in the prescribed manner any change in mobile number, or loss of handset on which the BO wants to send/receive messages generated under TRUST .In case the new number is not registered for TRUST in the depository system, the messages generated under TRUST will continue to be sent to the last registered mobile number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of messages sent on such mobile number.
 5. The BO agrees that SMS received by the Depository from the registered mobile number of the BO on the basis of which instructions are executed in the depository system shall be conclusive evidence of such instructions having been issued by the BO. The DP / CDSL will not be held liable for acting on SMS so received.
 6. The BO shall be responsible for submitting response to the 'Responsive SMS' within the specified time period. Transactions for which no positive or negative confirmation is received from the BO, will not be executed except for transaction for deregistration. Further, CDSL shall not be responsible for BOs not submitting the response to the said SMS within the time limit prescribed by CDSL.
 7. The BO agrees that the signing of the TRUST registration form by all joint holders shall mean that the instructions executed on the basis of SMS received from the registered mobile for TRUST shall be deemed to have been executed by all joint holders.
 8. The BO agrees to ensure that the mobile number for TRUST facility and SMS alert (SMART) facility is the same. The BO agrees that if he is not registered for SMART ,the DP shall register him for SMART and TRUST .If the mobile number provided for TRUST is different from the mobile number recorded for SMART ,the new mobile number would be updated for SMART as well as TRUST .
 9. BOs are advised to check the status of their obligation from time to time and also advise the respective CMs to do so. In case of any issues, the BO/CM should approach their DPs to ensure that the obligation is fulfilled through any other mode of delivery of transactions as may be informed / made available by CDSL from time to time including submission of Delivery Instruction Slips to the DP .
 10. The BO acknowledges that CDSL will send the message for confirmation of a transaction to the BO only if the Clearing Member (registered by the BO for TRUST) enters the said transaction in CDSL system for execution through TRUST within prescribed time limit.

11. The BO further acknowledges that the BO/CM shall not have any right to any claim against either the DP or Depository for losses, if any, incurred due to non receipt of response on the responsive SMS or receipt of such response after the prescribed time period. In the event of any dispute relating to the date and time of receipt of such response, CDSL's records shall be conclusive evidence and the Parties agree that CDSL's decision on the same shall be final and binding on both Parties.
12. The BO may request for deregistration from TRUST at any time by giving a notice in writing to its DP or by any other mode as specified by Depository in its operating instructions. The same shall be effected after entry of such request by the DP in CDSL system if the request is received through the DP.
13. Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.
14. The BO expressly authorises Depository to disclose to the Service Provider or any other third party, such BO information as may be required by them to provide the services to the BO. Depository however, shall not be responsible and be held liable for any divulgence or leakage of confidential BO information by such Service Providers or any other third party.
15. The BO takes the responsibility for the correctness of the information supplied by him to Depository through the use of the said Facility or through any other means such as electronic mail or written communication.
16. The BO is solely responsible for ensuring that the mobile number is not misused and is kept safely and securely. The Depository will process requests originated from the registered Mobile as if submitted by the BO and Depository is not responsible for any claim made by the BO informing that the same was not originated by him.
17. Indemnity:
In consideration of providing the service, the BO agrees that the depository shall not be liable to indemnify the BO towards any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.
18. Disclaimer:
Depository shall be absolved of any liability in case:-
 - a. There is loss of any information during processing or transmission or any unauthorized access by any other person or breach of confidentiality.
 - b. There is any lapse or failure on the part of the service providers or any third party affecting the said Facility and that Depository makes no warranty as to the quality of the service provided by any such service provider.
 - c. There is breach of confidentiality or security of the messages whether personal or otherwise transmitted through the Facility.



Signatures

Sole / First Holder

Second Holder

Third Holder

Place : _____

Date : _____

OPTION FORM FOR ISSUE OF DIS BOOKLET

Date									
------	--	--	--	--	--	--	--	--	--

DP ID	1	2	0	9	2	8	0	0	ClientID	
Sole/ First Holder's Name										
Second Holder's Name										
Third Holder's Name										

To

M/s. SKY Commodities India Private Ltd.,
40-49, I.G.Towers,
Devangapet 3rd Street, Flower Market,
Coimbatore 641001

Dear Sir / Madam,

I / We hereby state that: [Select one of the options given below]

OPTION 1:



I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a POA / executed PMS agreement in favour of / with **SKY Commodities India Pvt Ltd.** (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

OR

OPTION 1:

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I/we have issued a Power of Attorney (POA) / executed PMS agreement in favour of / with **SKY Commodities India Pvt Ltd** (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager. The issuance of DIS booklet contains 10 slips costing Rs.500/- per booklet.

Yours faithfully,

Signatures			
	First / Sole Holder	Second Holder	Third Holder
Name			

Authorisation to Debit Demat Account Operating Charges

To

SKY Commodities India Pvt. Ltd.,
40-49, IG TOWERS, DEVANGAPET 3RD STREET, FLOWER
COIMBATORE - 641001.

Dear Sir,

SUB: Authorisation for debit the Demat Account Charges

REF: Trading Client ID: _____

I / we have opened / have a CDSL beneficiary account with you alongwith trading account for investment and trading purposes. I / we hereby authorize you to debit from my trading account, the charges payable towards transaction charges and all other charges, statutory levies in the above said demat account. I / we are aware of the charges for operating the said demat account with you. Any such sum debited to my trading account shall be binding on me / us.

Thanking You,

Yours truly,



ACKNOWLEDGEMENT

To
M/s SKY Commodities India Pvt. Ltd.,
40-49. I.G. Towers, Devangpet 3rd Street,
Flower Market, Coimbatore - 641 001.

Dear Sir,

I state and confirm that I have read and understood all the clauses of aforesaid document.
I also confirm that I have received the relevant clarification, if any, wherever required the officials of
SKY Commodities India Pvt. Ltd.,

Sl. No.	Brief Significance of the Document
1.	Rights & Obligations
2.	Risk Disclosure Document (RDD)
3.	Guidance Note Do's and Don't for trading on the Exchange (s) for investors
4.	Policies and Procedures Document pursuant to the SEBI Circular Dt. 3.12.2009
5.	RMS Policy (Understood that I have to refer the Policy at regular intervals from their website)

I have executed the Voluntary documents without any compulsion and I read, understood the same.
The above documents are binding on me. All the signatures in the application form are signed by
me. In case of any difference observed, I will report to your Grievance Email ID within 15 days on
receipt of copy of KYC Documents by mail.

(Client Signature) 

33
34

Name of the Applicant : _____

Date : _____

AUTHORIZATION FOR ACCOUNT MODIFICATION REQUESTS

I hereby authorize you to carry out any addition, modification and / or removal of my details like mobile No. without
supporting document and Bank Details, DP Details, Address Details with supporting documents duly self attested
including brokerage plan changes sent through my Registered mail ID with you to your Mail ID
modify@skycommodities.com or intimation through an interface provided by you, where to I have been allowed
secured access.

Signature 

34
34

S.No.

Mobile No. :

E-Mail ID :

Name of the Bank :

IFSC Code :

Occupation :

Trading Experience :

Marital Status : Single / Married

Mother's Name :

Annual Income :

< 1 Lakh

1 - 5 Lakhs

5 - 10 Lakhs

10 - 25 Lakhs

>25 Lakhs

FOR OFFICE USE ONLY

Particulars	Date	Time	Sign
Back office entry			
Brokerage			
MCX Upload			
NSE Upload			
BSE Upload			
DP Upload			
Welcome letter			
Scan			
Final checked by			



 **SKY BROKING**
GROWING TOGETHER

An ISO 9001 : 2015 Certified Company



• •
www.skybroking.com
• •

